

2622 -146 5-5-04 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re P | atent Application of: |) | | | | | | |
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| | Hawley K. Rising III, et al. | Examiner: | Not yet assigned | | | | | |
| Applica | ation No.: 09/904,271 |) Art Unit: | 2622 | | | | | |
| Filed: | July 11, 2001 |) | RECEIVED | | | | | |
| For: | A TWO-STAGED MAPPING FOR |)) | APR 2 9 2004 | | | | | |
| | APPLICATION SPECIFIC MARKUP AND BINARY ENCODING |)) | Technology Center 2600 | | | | | |
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| | INFORMATION DISCLOSURE STATEMENT | | | | | | | |
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| request | ed that the cited documents be consider | ered and that the er | nclosed copy of | | | | | |
| Informa | ation Disclosure Citation Form PTO-1 | 449 or PTO/SB/08 | be initialed by the | | | | | |
| Examin | ner to indicate such consideration and | a copy thereof retu | rned to applicant(s). | | | | | |
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| /(: | Signature of person mailing correspondence) | | | | | | | |

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

| X | 37 C.F.R. §1.97(b). |
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| | 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following: |
| | A statement pursuant to 37 C.F.R. §1.97(e) or |
| | A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p). |
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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated April 23, 2004

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

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| Substitute for Form 1449A/PTO (Modified) | | | | Complete if Known | | | | |
| 101 | EOR | MATION | DISCL | OSHRE | Application Number | | 09/904,271 | |
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| 185 | • |) | /3 | | Examiner Name | | Not yet assig | gned |
| Sheet | | | of | 3 PADEMINATOR | Attorney Docket Number | er . | 080398.P50 | 4 |
| 1 | | | | | IT DOCUMENTS | | | |
| Exam. | Cite | U.S. Patent | Document | | entee or Applicant | Date of I | Publication of | Pages, Columns, Lines, |
| Initial* | No.¹ | Number Code ² | Kind (If known) | of Cite | d Document | | Document DD-YYYY | Where Relevant Passages or Relevant Figures Appear |
| | | 5,579,471 | | Bart | er, et al. | | /26/96 | |
| | | 6,492,998 | B1 | Kin | n, et al. | 12 | /10/02 | |
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| Cite No. ¹ | Office ³ | gn Patent D Number⁴ | | Name of Patentee or Applicant of Cited Document | of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T |
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| Examiner | Date Considered | |
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| Signature | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for Form 1449A/PTO (Modified) | | | Complete if Known | | | | |
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| STATEMENT BY APPLICANT APR 2 6 200(4) Separation DISCLOSURE APR 2 6 200(4) Separation DISCLOSURE APR 2 6 200(4) Separation DISCLOSURE | | | Application Number | 09/904,27 | 09/904,271 | | |
| | | | Filing Date | 7/11/01 | · | | |
| | | | First Named Inventor: | Hawley K | . Rising III | | |
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| Sheet | 30, | of | 3 | Attorney Docket Number | 080398.P5 | 504 | |
| 72 | | OTHE | R ART - NO PAT | ENT LITERATURE DOCU | MENTS | | |
| Initials* No ¹ item (book, magazine, journal, se | | | TAL LETTERS), title of the article (when appropriate), title of the erial, symposium, catalog, etc.), date, page(s), volume-issue lisher, city and/or country where published | | | T ² | |
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.





APR 2 9 2004

Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

| WIPO ST.16 Kind Codes | Kind of document | Comments |
|-----------------------|--|---|
| A1 | Patent Application Publication | Pre-grant publication available March 2001 |
| A2 | Patent Application Publication (Republication) | Pre-grant publication available March 2001 |
| А9 | Patent Application Publication (Corrected Publication) | Pre-grant publication available March 2001 |
| B1 | Patent | No previously published pre-grant publication |
| B2 | Patent | Having a previously published pregrant publication and available March 2001 |
| C1, C2, C3 | Reexamination Certificate | Previously used codes B1 and B2 are now used for granted Patents |
| E | Reissue Patent | No change |
| Н | Statutory Invention Registration (SIR) | No change |
| P1 | Plant Patent Application Publication | Pre-grant publication available March 2001 |
| P2 | Plant Patent | No previously published pre-grant publication |
| Р3 | Plant Patent | Having a previously published pregrant publication and available March 2001 |
| P4 | Plant Patent Application Publication (Republication) | Pre-grant publication available after March 2001 |
| Р9 | Plant Patent Application Publication (Corrected Publication) | Pre-grant publication available March 2001 |
| s | Design Patent | No change |